The U.S.-Japan Research Institute (U.S.-Registered NPO) FY2010 Operational Report

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1. Overview

The U.S.-Japan Research Institute, which was established in Washington, D.C. in the U.S., has entered its second year in operation. In April 2010, we were granted eligibility for tax-exemption based on the U.S. 501(c)(3) tax code and started our official operations. The major activities we implemented in FY2010 included “Commemorative Symposium for the 50th Anniversary of the Japan-U.S. Security Treaty” (held in Tokyo in May 2010), “To mark the APEC conference - Can Asia Save the World Economy?” (held in Kyoto in November 2010), and “USJI WEEK” (held in Washington, D.C. in September 2010 and February 2011). In particular, USJI WEEK seminar held in February 2011 were broadcast live by US C-SPAN, enabling us to disseminate our research results all over the world.

We also provide the information collected by our Washington, D.C. office to people closely related to our institute through “USJI Newsletter” in a timely manner.

The contributions we have received increased by approximately 1,200,000 JPY in comparison with the previous year, and the number of contributors also increased by 18 companies. USJI appreciates the support we have received.

2. Our Research Activities

1) Symposiums and Other Events Presented by USJI

   (1) **Commemorative Symposium for the 50th Anniversary of the Japan-U.S. Security Treaty U.S.-Japan Relationship after Fifty Years**

   This symposium was held to commemorate the 50th anniversary of the Japan-U.S. Security Treaty. The symposium also considered the two countries’ trends in connection with the Japan-U.S. alliance from the perspective of Asia. A keynote speech was delivered by former U.S. Ambassador to Japan Michael Armacost, and a panel discussion by Japan and U.S. specialists was held. Around 900 people attended the event, and it was widely reported in the media.

   May 28, 2010 1:20pm-5:30pm
   Okuma Auditorium, Waseda University, Japan

   Moderator: **Monte Cassim**  USJI Vice Chair,  Professor, Vice President, Ritsumeikan Trust
   Speech from the chair: **Katsuhiko Shirai**  The chair of USJI, President, Waseda University
   Congratulatory Speech: **James P. Zumwalt**  Deputy Chief of Mission, the U.S. Embassy in Japan
   **Tamotsu Tokunaga**  Director General of the Higher Education Bureau
   Ministry of Education, Culture, Sports, Science and Technology
(2) To mark the APEC conference - Can Asia Save the World Economy?

In order to highlight problems relating to the Asia-Pacific economy and to recommend solutions, panelists were invited from domestic and foreign universities, private-sector companies and other entities to take part in an international symposium in Kyoto, where the APEC Finance Ministers' meeting would be held.

November 4, 2010  2:40pm-6:15pm
Soushikan Conference Hall, Kinugasa Campus, Ritsumeikan University

Panel Discussion (Session 1):

Economic Network in Asia and its Economic Development

Moderator: Ryuhei Wakasugi  Professor, Kyoto University
Panelists: Takehiko Inoue  Panasonic Corporation

Kouhei Shiino  Japan External Trade Organization: JETRO
Shinsaku Sugiyama  Professor, Ritsumeikan University
Nobuhiko Hibara  USJI Operating Adviser, Associate Professor, Ritsumeikan University
Panel Discussion (Session 2):  
**APEC, East Asia Consortium and Global Imbalance**  
Moderator: Keiji Nakatsuji  USJI Operating Adviser, Professor, Ritsumeikan University  
Panelists: Randall Henning  Visiting Fellow, Peterson Institute for International Economics,  
Professor, American University, United States  
Xiaopeng Yin  Associate Professor, University of International  
Business & Economics, China  
Shujiro Urata  Professor, Waseda University  
Masayuki Tadokoro  Professor, Keio University  
Nobuhiro Hiwatari  Professor, The University of Tokyo  
Commemorative Speech: Han Sung-Joo  Ex-Foreign Minister, Republic of Korea  
Professor Emeritus, Korea University

(3) USJI WEEK  
The Two-Day Seminar held in FY2009 offered multiple seminars in a focused manner. To enhance our capabilities to disseminate our research results, we presented USJI WEEK in Washington, D.C. on two occasions (September and February) within this fiscal year.  

[September 7-10, 2010]  
Hilton Embassy Row  

Four seminars were conducted on the themes of “Cyber Security,” “The Japanese Election,” “The Development Index” and “U.S. Politics.” In addition, as new initiatives an Open House was held at the USJI Washington Office and a USJI Networking Social focusing on students who are studying abroad was staged. These and other events were organized as opportunities for adding new dimensions to USJI in Japan and the U.S. The fact that increasing numbers of Americans are attending the seminars also revealed that USJI’s recognition is growing.

Seminar 1  
**Cyber Security in East Asia and Policy Cooperation between Japan and the United States**  
Moderator: Dr. Motohiro Tsuchiya  Associate Professor, Keio University  
Panelists: Mr. David Hoffman  Director of Security Policy and Global Privacy Officer, Intel Corporation  
Dr. Lance J. Hoffman  Distinguished Research Professor, The George Washington University  
Mr. Tomohiko Yamakawa  Producer of NTT Corporation, Cyber Security Project, Research and Development Planning Department  
Commentator: Mr. Greg Nojeim  Senior Counsel and Director, Center for Democracy and Technology
Seminar 2
How the LDP was Defeated in 2009 and How the DPJ was Defeated in 2010 in Japan?
Moderator & Panelist:
  Dr. Aiji Tanaka  USJI Operating Adviser, Professor, Waseda University
Panelists:
  Dr. Michael A. Bailey  Professor, Georgetown University
  Mr. Fred Hiatt  Editorial Page Editor, The Washington Post

Seminar 3
Commitment to Development Index: Its Meaningfulness and Policy Implications
Moderator:
  Dr. Yoshiaki Abe  USJI Operating Adviser, Professor, Waseda University
Main Speaker:
  Mr. David Roodman  Senior Fellow, Center for Global Development
Panelists:
  Mr. Hiroto Arakawa  Senior Special Advisor, Japan International Cooperation Agency; JICA
  Ms. Jennifer Harris  The Secretary's Policy Planning Staff, U.S. Department of State
  Mr. Stephen Knack  Lead Economist, Development Research / Public Sector Governance, The World Bank

Seminar 4
The Partisanship and Bipartisanship in Contemporary US Politics
Moderator:
  Dr. Fumiaki Kubo  USJI Operating Adviser, Professor, the University of Tokyo
Lecturers:
  Ms. Ayako Hiramatsu  Ph.D Student, the Johns Hopkins University
  Mr. Rentaro Iida  Ph.D Candidate, Georgetown University
  Dr. Kazuyuki Sugawara  Associate Professor, Kushiro Public University of Economics
  Mr. Takeshi Umekawa  Fox International Fellow, Yale University
Commentators:
  Dr. Shoko Kiyohara  Lecturer, Meiji University
  Dr. Clyde Wilcox  Professor, Georgetown University
February 4-11, 2011

Seven seminars and an exchange event for students studying abroad were held in Washington D.C. At a seminar on “International strategies in the world’s higher education,” the former presidents of three universities – a Japanese, a U.S. and a Chinese university, respectively – discussed the direction and strategies of universities in their own countries and the world. A seminar on the U.S.-Japan Security Treaty was broadcast live on C-SPAN3, a U.S. cable television channel that specializes in politics, indicating the influence that USJI enjoys in the U.S. There was also a speech by Ambassador Stephen Bosworth, the U.S. Special Representative for North Korea Policy, and in total the seminars together attracted roughly 800 participants.

Seminar 1:
Strengthening Post-Conflict Security and Diplomacy: Lessons for U.S. and Japan
ODA Policy and Practice

Discussants:

Dr. Lisa Goldman  Senior Attorney, Counsel, and Co-Director, Environmental Law Institute
Dr. Mikiyasu Nakayama  Professor, Division of Environmental Studies, The University of Tokyo
Dr. Carl Bruch  Senior Attorney and Co-Director, Environmental Law Institute
Dr. Mishkat Al Moumin  Chief Executive Officer, Women and the Environment Organization


Moderator:

Mr. Shotaro Yachi  Professor, Organization for Japan-US Studies, Waseda University Adviser to the Minister for Foreign Affairs

Panelists:

Mr. Takeo Akiba  Minister for Political Affairs, the Embassy of Japan
Dr. Patrick Cronin  Senior Advisor and Senior Director, Asia-Pacific Security Program, Center for a New American Security
Mr. Tomohiko Taniguchi  Senior Guest Fellow (Defense and Security), Sojitz Research Institute Former Spokesperson at the Japanese Foreign Ministry

Seminar 3: Reactivating US – Japan Relations in A Changing Asia

Opening Remarks:

Dr. Katsuhiko Shirai  Chair, USJI Executive Advisor for Academic Affairs and Former President, Waseda University
Prof. Joel P. Trachtman  Professor of International Law, The Fletcher School of Law and Diplomacy / Director, The Hitachi Center

Macro Perspective Panel:
The Future of Trade Negotiation and its Impact on the Legal and Political Environment in the U.S., Japan, and the Asia Pacific Region
Moderator :
Prof. Joel P. Trachtman  Professor of International Law, The Fletcher School of Law and Diplomacy / Director, The Hitachi Center
Panelists :
Prof. Naoyuki Agawa  Vice Chair, USJI Vice President, International Collaboration Professor, Faculty of Policy Management, Graduate School of Media and Governance, Keio University
Mr. Michael J. O'Neil  Partner, K&L Gates / North America Director, Trilateral Commission / Former General Counsel, CIA
Dr. Akihiko Tanaka  Vice Chair, USJI Executive Vice President, The University of Tokyo

Micro Perspective Panel:
Corporate Strategy, Operation, and Leadership in Managing Legal, Regulatory and Political Risk in the U.S., Japan, and Asia Pacific Region
Moderator :
Prof. Partha S. Ghosh  Visiting Professor of Strategic & Innovation Management, The Fletcher School of Law and Diplomacy / Professor of Practice, Tufts Gordon Institute / Former Partner, McKinsey &Company
Panelists :
Mr. Carl Green  Senior Advisor, Former Senior Representative, Hitachi Corporate Office in Washington D.C.
Hon. Slade Gorton  Of Counsel, K&L Gates / Former U.S. Senator
Prof. Junichi Mori  Vice Chair, USJI Vice President, Kyoto University

Seminar 4: How to innovate the higher education role in the new global knowledge-based society?
Opening Remarks :
H.E. Ichiro Fujisaki  Ambassador of Japan to the United States
Moderator :
Dr. Akihiko Tanaka  Vice Chair, USJI Executive Vice President, The University of Tokyo
Panelists: **Dr. Xu Zhihong**  The Former President, Peking University  
**Dr. Katsuhiko Shirai**  Chair, USJI  Executive Advisor for Academic Affairs,  
The Former President, Waseda University  
**Dr. Stephen Joel Trachtenberg**  President Emeritus and University Professor of  
Public Service, George Washington University  

Seminar 5: A Japan That Can Say Yes: Maintaining Japan’s Presence in the United States and Elsewhere  
**Moderator**  **Prof. Naoyuki Agawa**  Vice Chair, USJI  
Vice President, International Collaboration  
Professor, Faculty of Policy Management,  
Graduate School of Media and Governance,  
Keio University  
Panelists: **Ms. Irene Hirano Inouye**  President, U.S.-Japan Council  
**Dr. Daniel M. Kliman**  Visiting Fellow, the Center for a New American Security  
**Dr. Kevin M Doak**  Professor & Nippon Foundation Endowed Chair  
in Japanese Studies, Department of East Asian Languages and Cultures, Georgetown University  
**Mr. Takeo Mori**  Minister for Economic Affairs, Embassy of Japan  

Seminar 6: The Current Global Financial Crisis and its effects On US, Japan, and Asia Pacific Region  
**Moderator:** **Dr. Keiji Nakatsuji**  Operating Adviser, USJI  
Professor of International History, Graduate School of International Relations,  
Ritsumeikan University  
Panelists: **Mr. Kiyoaki Aburaki**  US Representative,  
Keidanren and the 21st Century Public Policy Inst.  
Visiting Fellows, Center for Strategic and International Studies  
**Dr. David Weinstein**  Carl S. Shoup Professor of Japanese Economy, Columbia University  
Associate Director for Research Center on Japanese Economy and Business  
**Dr. Mireya Solis**  Associate Professor, School of International Service, American University  
**Dr. Sachio Nakato**  Professor, College of International Relations,  
Department of International Relations, Ritsumeikan University  
**Dr. Nobuhiko Hibara**  Operating Adviser, USJI  Associate Professor of Finance,  
School of Business Administration, Ritsumeikan University
Seminar 7: Climate Change Policies in the U.S. and Japan

Moderator:

**Dr. Masahiko Gemma**  Operating Adviser, USJI
Professor & Director, Faculty of Social Sciences and
the Institute of Japan-US Studies, Waseda University

Panelists:

**Dr. Harry de Gorter**  Professor, Charles H. Dyson School of Applied
Economics and Management, Cornell University

**Mr. Tatsuya Shinkawa**  Chief Representative  Representative Office in Washington, DC,
New Energy and Industrial Technology Development Organization

**Dr. Yacov Tsur**  Professor & Head, Department of Agricultural
Economics & Management, The Hebrew University of Jerusalem

**Ms. Jane Nakano**  Fellow, Energy and National Security Program,
Center for Strategic and International Studies

**Dr. Zbigniew Bochniarz**  Visiting Professor, Evans School of Public Affairs,
University of Washington

(4) Seminars and Study Sessions Presented by USJI

**APEC and Japan: Structural Changes and Implications for the US-Japan Relations**
December 7, 2010
Venue: JSPS/JST Washington DC Office Conference Room
Organized by: U.S.-JAPAN Research Institute (USJI),
Waseda University Organization for Japan-US Studies
Speaker: **Dr. Takashi Terada**  Professor, Waseda University
Welcome speech by: **Dr. Yoshiaki Abe**  USJI Operating Adviser / University Professor,
Waseda University

**Japanese New Educational Cooperation Policy for MDGs and EFA**

- An Integrated Approach for Human Security
December 16, 2010
Venue: JSPS/JST Washington DC Office Conference Room
Organized by: U.S.-JAPAN Research Institute(USJI)
Speaker: **Dr. Kazuo Kuroda**, Professor, Waseda University
2) Other Research Activities

(1) Research Themes and Research Projects


1) Climate Change for the US and Japan
   Term: July 2010 – March 2013
   Leader: Prof. Masahiko Gemma, Waseda University

2) Banking Crises and Underlying Global Imbalance: Political Economy Perspectives
   Term: July 2009 – March 2011
   Leader: Prof. Keiji Nakatsuji, Ritsumeikan University

3) The Structural Transformation of Contemporary American Politics and Its Policy Implications --Between Ideological Polarization and Bipartisanship
   Term: April 2010 – April 2013
   Leader: Prof. Fumiaki Kubo, The University of Tokyo

   Term: September 2010 – March 2012
   Leader: Prof. Shotaro Yachi, Waseda University

This research project was conducted based on an outsourcing contract with the Sasakawa Peace Foundation.

(2) Membership of Asia Policy Point

To effectively collect information in Washington, D.C., we joined this group, which regularly disseminates information on lectures and seminars related to Asia.

The membership was obtained on May 1, 2010.
3) Other Activities

(1) Governmental Certifications and Registrations
- Tax-exempt organization eligibility 501(c)(3) (IRS)
- Basic Business License (DC)
- Trademark registration of U.S-Japan Research Institute (DC)
- Entity name change (DC, IRS)

(2) Creating Networks of Japanese Students from the Five Founding Universities Studying in the Washington, D.C. Area
We enhanced the networks of Japanese students from the five founding universities studying in the Washington, D.C. area.

(3) Attending Seminars, Symposia, and Receptions
We attended a total of 47 seminars, symposia, receptions.

3. Disseminating Research and Activity Results

Reports on the symposiums and seminars presented by USJI have been posted on the website of our institute. In addition, we made timely distribution of the information we gained through other seminars we attended in the form of USJI Newsletter to our contributors and supporters in Japanese. The latest edition of the newsletter is Vol. 77.

Media Broadcasts and Coverage
- **Symposium held on May 28, 2010**
  - **May 6** Websites of local papers (The Ryukyu Shimpo, 47 NEWS, The Nishinippon Shim bun, The Yamanashi Nichinichi Shimbun) based on the news release service by Kyodo News
  - **May 18** The Yomiuri Shimbun (advertisement)
  - **May 24** The Yomiuri Shimbun (advertisement)
  - **May 26** The Mainichi Newspapers (advertisement)
  - **May 28** BS Fuji “PRIME NEWS,” NHK NEWS, Fuji Television “NEWSJAPAN,” Nippon Television “NEWS EVERY”
  - **May 29** Nippon Television “Wake up! Plus,” The Yomiuri Shimbun
  - **June 2** The Yomiuri Shimbun
- **USJI WEEK held in February 2011**
  
  February 7  Nation-wide live broadcast on C-SPAN in the U.S. and the data archived on the website
  

- **USJI coverage in Zaikai, a general business magazine (May 25 edition)**

- **Article by Katsuhiko Shirai (Chairperson, USJI) posted on the New York Times website on March 15, 2011**
  "What Aid Makes Sense for Japan?"

4. **Collaborations with Other Initiatives**

   (1) **Support for the “Security Cooperation and Regional Integration in Asia” Symposium**

   Date and Time: December 3 (Fri.), 2010 (9:30-16:30)
   Organizer: Global Institute for Asian Regional Integration, Waseda University Global COE
   Venue: International Conference Center, Waseda University

   (2) **Support for the Japan America Student Conference (Report on the 62nd Conference and Introduction to the 63rd Conference)**

   Date and Time: December 18 (Sat.), 2010 (13:00-16:00)
   Organizer: International Education Center
   Venue: Okuma Memorial Tower, Waseda University

5. **Initiatives to Develop Human Resources**

   (1) Mr. Tomita, who used our Washington, D.C office from November 2 to November 26 in 2010, held the following study session. He comments that the rare opportunity to present his research results to people in business allowed him to gain new insights.

   Lecturer: **Terumasa Tomita** Ph.D Student, Department of Advanced Social and International Studies, The University of Tokyo
November 22, 2010
Organized by: U.S.-JAPAN Research Institute (USJI)
Venue: JSPS/JST Washington DC Office Conference Room
Theme: Political and Economic Implications of U.S. Trade Policies from the Perspectives of
Labor Unions

(2) As we held USJI WEEK in Washington, D.C. in September 2010 and February 2011, we asked
for the participation of volunteers to manage the event. Japanese students studying in the
Washington, D.C. area and interns working for Asia Policy Point (a local NPO) assisted USJI in
managing the seminars.

6. Creating Networks and a Researcher Database

1) Interactions with Japan-related Communities

USJI worked on the alignment with Japan-affiliated companies and Japanese communities in
Washington, D.C. through the Japan Commerce Association of Washington, D.C., of which we
have been a member since FY2009. We also made a 25 USD contribution to the cherry festival
organized by the association.

2) Open House in USJI WEEK

USJI held an open house in USJI WEEK held in September 2010 and
February 2011, giving the general introduction of USJI and exchanging
views with visitors. American visitors shared their expectations and
suggestions on USJI activities. We had 26 and 22 visitors in September
2010 and February 2011, respectively, from various fields, including
Japanese and American think tanks and researchers, American media, American public
organizations, and Japan-affiliated corporations.

3) Interactions with Japanese Students Studying in the Washington D.C. Area

We provided networking opportunities through USJI Networking Social
(USJI WEEK in September 2010) and Networking Reception (USJI
WEEK in February 2011). The attendees included students from the five
founding universities studying in the Washington, D.C. area as well as
American students, embassy staff, Japan-affiliated corporation
employees, and think tank personnel, with about 100 and 150 people coming to the events in
September 2010 and February 2011, respectively. Students attending the events had lively
discussions with people from the embassy and corporations. The events gave corporate
attendees who rarely had a chance to directly talk with students a good opportunity for direct dialogue.

4) Reception for Graduates of the Five Founding Universities of USJI

We held a reception for graduates of the five founding universities of USJI in Washington, D.C. on September 8, asking for support and suggestions on USJI's activities in Washington, D.C. Attendees showed high expectations for the success of the activities implemented by their alma maters.

5) Networking Activities

We proactively advertised our activities in Japan as well as to universities, companies, think tanks, and other organizations in the U.S. to obtain their support and expand our networks.

<Major organizations and groups assisting USIJ> (in no particular order of importance)

Embassy of Japan to the United States, Japan Foundation, Japan Society for the Promotion of Science, Japan Commerce Association of Washington, D.C., America-Japan Society, Maureen and Mike Mansfield Foundation, The Japan Information and Culture Center, Japan Society for the Promotion of Science Washington Office, National Association of Japan-America Societies, U.S.-Japan Council, Japan-America Student Conference, Japan-America Student Union, and Zaron (Japanese Academic Community for Career Development at the American University)

6) Creating a Researcher Database

We registered 3,077 researchers (1,321 from the U.S., 1,734 from Japan, and 22 from other countries) to the researcher database. The number increased by more than four times in comparison with the previous year.

7. Soliciting Contributions and Subsidies from Entities, Organizations, and Individuals

We solicited contributions from entities, organizations, and individuals that supported our objectives both in Japan and in the U.S. Based on our 501(c)(3) tax exemption status granted by the U.S. government as of April 2010, we have been endowed with the capability to accept donations from U.S. corporations (including Japan-affiliated corporations located in the U.S.). We have been able to increase the number of contributors and the amount of donations in comparison with the previous year, with certain challenges still remaining to obtain donations in a sustainable manner. We work with the Japan Foundation to process the donations collected from Japanese companies located in Japan to leverage its special donation program.
The following corporations, universities, and other organizations and individuals have contributed to USJI in FY2010.

- **Corporations** 40,700,000 JPY
  * Offered flight tickets between Japan and the U.S.

- **Universities** ¥5,000,000 JPY
  Kyoto University, Keio University, The University of Tokyo, Ritsumeikan University, Waseda University

- **Expense for dispatching staff from founding universities** 120,000 USD
  * Personnel, housing, transportation, and other costs. (Income gained is spent as personnel, housing, and other costs.)

- **Contributions by individuals at seminars and events** 846.67 USD
  - September 7-10: USJI WEEK Donation Box (777 USD)
  - July 30: Donation by Washington Inamon Study Group (65 USD)
  - Other: Donation (4.67 USD)

- **Subsidy from the Japan Foundation Center for Global Partnership** 39,402 USD
  Subsidy granted to USJI WEEK held in December 2011 by the Japan Foundation Center for Global Partnership
Information
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Established: 4/14/2009

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